

Project 11: Enhancing Environmental Law Enforcement in North America		Operating Year(s): 2013–2014
Planned Budget for two years: C\$460,000 Year 1: C\$210,000 Year 2: C\$250,000		
Strategic Priority/Objective: Healthy Communities and Ecosystems / Greening the Economy in North America		
Project Summary This project will allow the Parties to improve their understanding of the illegal trade in targeted, environmentally-regulated materials such as e-waste, hazardous waste, notably, spent lead acid batteries, and other wastes in trade; ozone-layer depleting substances, and non-compliant motorcycles, and on specific wildlife species. Building upon the work initiated under the previous Operational Plan, this project will contribute to the implementation of intelligence-led enforcement in the region, increased identification of non-compliant shipments and targets, and coordination among relevant agencies in sharing information and expertise in regulatory and enforcement landscapes. Training, information and expertise sharing in areas of common interest can include dissemination of information regarding environmental litigation, forensic expert deposition, evidence gathering, and analysis of high profile cases across North America.		
Short-term Outcomes (at halfway point) Implement processes to facilitate trilateral information and intelligence sharing to support identification of targets for enforcement actions leading to potential investigations <ul style="list-style-type: none">○ Information and intelligence products to be shared have been identified, a format developed, and exchange mechanisms agreed upon○ Consolidation of high performing team relationships, allowing effective responses to current and future enforcement challenges○ Revision of recommended practices for secure internet research and covert computers use for enforcement and compliance monitoring officers○ Agencies development of protocols for handling sensitive enforcement data and developing a listing of officers/special agents in each country for specific topics○ Increased awareness on issues posing challenges for transboundary enforcement in common areas of interest○ Enhanced understanding of transboundary enforcement challenges and best practices shared in common areas of interest○ Analysis of high profile enforcement cases and issues affecting compliance in transboundary shipments in common areas of interest○ Dissemination of information, online training updates, and dissemination of best practices related to environmental compliance and environmentally sound management in common areas of interest○ Agencies development procedures to facilitate the tasking and coordination of intelligence units, and procedures to action intelligence referrals		
Long-term Outcomes (by the end of the project) Flow of intelligence for specific sectors becomes an integral part of domestic operations between the three countries		

- Information and intelligence product exchange to develop effective working relationships with other law enforcement organizations, such as Interpol's Environmental Crime Group, and other international or regional organizations
- Enforcement partners call out to EWG membership issues on environmental and wildlife enforcement in transboundary shipments in common areas of interest
- A document including recommended practices for secure Internet research, use of covert computers, and safe online practices for officers is available trilaterally to environmental and wildlife officers
- Agencies implement protocols to share appropriate information
- Coordination among agencies' intelligence units' and operations units
- Enhancement of operational activities based on EWG agency-to-agency collaboration
- Common understanding of issues and solutions to enhance enforcement of environmental and wildlife laws in the region

Longer-term, environmental outcome (post project)

- Regular trilateral sharing of identified targets and issues
- North America is better protected from non-compliant imports
- Robust coordination for information sharing

Tasks necessary to reach the environmental outcome:

- 1) Implement the intelligence-led project, including planning, coordination, execution, monitoring and controlling the project and its components;
- 2) Address challenges and opportunities of the regulatory and enforcement documents delivered by the EWG; and
- 3) Support collective efforts to enhance environmental and wildlife enforcement in North America.

Task 1) Implementation of the intelligence-led project, including planning, coordination, execution, and monitoring and controlling of the project and its components

Subtask	Project outputs	How does the subtask/output move the project towards the environmental outcome	Timing	Budget (activities)
1.1 Implementation of intelligence-led enforcement leading to an increased number of non-compliant targets, building on the work initiated under the previous Operational Plan	<p>1.1.1 Implementation of trilateral protocols and procedures for information sharing among environmental officials.</p> <p>1.1.2. A document including recommended practices for the use of covert computers and online research and safe</p>	Implementation of these tasks will support identification, coordination and appropriate action on non-compliant or illegal targets.	<p>Activities budgeted as year 1 are due by June 14.</p> <p>Year 2 covers up until June 2015.</p>	<p>Budget per task 1.1:</p> <p>Year 1: C\$100,000</p> <p>Year 2: C\$135,000</p> <p>1.1.1. Year 1: C\$20,000</p> <p>Year 2: C\$20,000</p> <p>1.1.2. Year 1: C\$15,000</p>

	<p>online practices for officers.</p> <p>1.1.3. Bi-monthly intelligence reports and information and expertise sharing activities.</p> <p>1.1.4. Deliver computer forensics training.</p> <p>1.1.5. Deliver a workshop for processing, using and disseminating ecomessages or notices for field officers.</p> <p>1.1.6. A document including recommended practices for coordination of intelligence and operations units and dissemination of that information.</p>			<p>1.1.3. Year 1: C\$10,000 Year 2: C\$10,000</p> <p>1.1.4. Year 1: C\$25,000 Year 2: C\$25,000</p> <p>1.1.5. Year 2: C\$50,000</p> <p>1.1.6. Year 1: C\$30,000 Year 2: C\$30,000</p>
Task 2) Address challenges and opportunities of the regulatory and enforcement documents delivered by the EWG				
Subtask	Project outputs	How does the subtask/output move the project towards the environmental outcome	Timing	Budget (activities)
2.1 Address challenges identified in regulatory and enforcement documents	<p>2.1.1 Implement appropriate recommendations stemming out of the reports on e-wastes, ODS, and hazardous wastes, including information and expertise sharing.</p> <p>2.1.2. Develop a landscape document for illegal or non-</p>	The implementation of recommendations will enhance coordination with relevant stakeholders and will leverage to the identification and action on illegal or non-compliant targets.	June 14–15	<p>Year 1: C\$15,000 for both activities.</p> <p>Year 2: C\$15,000 for both activities.</p>

	compliant engines coming into North America. This includes refining intelligence sharing techniques, development of common elements of regulation interpretation, procedures for identification of illegal products and potential illegal manufacturers from foreign countries.			
Task 3) Support collective efforts to enhance environmental and wildlife enforcement in North America				
Subtask	Project outputs	How does the subtask/output move the project towards the environmental outcome	Timing	Budget (activities)
3.1 Support efforts to enhance environmental enforcement and compliance assurance in the region	<p>3.1.1 Delivery of annual meetings of the North American Working Group on Environmental Enforcement and Compliance Cooperation.</p> <p>3.1.2. Four face-to-face meetings to review implementation of the project with respect to specified commodities of interest to all three countries. Meetings will provide training, information and expertise sharing as appropriate, and webinars in preparation for targeted meetings, including those of the North American Wildlife Enforcement Group (NAWEG).</p>	These actions will provide a forum for identification of key challenges and opportunities to ensure sound and effective implementation of this project, and appropriate outreach and information dissemination.	June 14–15	<p>Budget per task 3.3: Year 1: C\$95,000 Year 2: C\$100,000</p> <p>3.1.1. Year 1: C\$25,000 Year 2: C\$25,000</p> <p>3.1.2. Year 1: C\$60,000 Year 2: C\$60,000</p>

	3.1.3. Translation and layout of documents for publication.			3.1.3. Year 1: C\$10,000 Year 2: C\$15,000
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Explain how this project meets the selection criteria adopted by Council in the Strategic Plan (See below)

The goal of all projects funded by the CEC will be to support the efforts of the Parties to conserve, protect and/or enhance the North American environment. The following criteria will guide the Secretariat, Working Groups, Committees, and other appropriate officials of the Parties in considering cooperative activities for Council approval under operational plans. These selection criteria do not apply for activities to be funded through the NAPECA grant program.

- **How does the project contribute to achieving Council's strategic objectives as described within the current Strategic Plan, or as related to other priorities subsequently confirmed by Council?**

This project will support the Parties in their efforts to attain the objectives of the North American Agreement on Environmental Cooperation by strengthening cooperation on, and coordination mechanisms for, the development and improvement of procedures, policies and practices and by enhancing compliance with enforcement of, environmental laws and regulations.

- **Are the proposed objectives North American in scope? In other words, how are the proposed results relevant to protecting the environment in North America? (For example, what would Council members announce to the press at the successful completion of this project?)**

The project will benefit all three NAAEC Parties by sharing information, expertise and best practices in environmental enforcement and compliance cooperation. The project will support decision-makers to identify and take action against illegal or non-compliant trade in environmentally regulated materials and wildlife, thus protecting our shared environment, and health of communities and workers in our region.

- **What are the specific, clear and tangible results that will be achieved and how will progress toward each result be measured over time? Identify performance measures to be used to indicate success at reaching all outcomes and/or performance.**

Quantitative results will be measured through the delivery of workshops, and documents foreseen in the project. Qualitative results can be measured utilizing the following:

- Number of non-compliant targets identified resulting from intelligence referrals
 - Number of non-compliant interdictions resulting from intelligence referrals
 - Number of cases where officer-to-officer communications are implemented by information exchange protocols
 - Number of targets identified from internet, social networks and electronic media in general
 - Number of news releases, newsletters both internal and external public releases on CEC website
 - Number of sessions for training and expertise sharing on addressing common areas of interest
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- **Explain why the CEC is the most effective vehicle for the Parties to undertake the project, considering:**

The CEC is an appropriate venue for this project and for the Parties' coordination. The North American Agreement on Environmental Enforcement and Compliance Cooperation includes the following as part of its objectives: f) strengthen cooperation on the development and improvement of environmental laws, regulations, procedures, policies and practices, g) enhance of compliance with, and enforcement of, environmental laws and regulations. Article 5 of NAAEC further outlines government action related to enforcement and compliance.

- **Does the project propose a clear timeline for implementation of the activities, including a target end date for CEC's involvement? Where applicable, describe how the work will continue after CEC involvement ends.**

The project identifies yearly execution of budget per task.

- **Where applicable, identify with reasonable specificity:**
 - **Linkages with other relevant CEC projects, past or present, in order to create synergies, capitalize on experience, or avoid duplication;**
 - **The target audience, as well as its receptivity and capacity to use the information that may be produced as a result of the project;**
 - **The beneficiaries of capacity building activities that the project may include; and**
 - **The relevant stakeholders, with particular attention to communities, academia, NGOs and industry, and their involvement and contribution to a successful outcome.**

The project will contribute to the group of projects dealing with *Wastes in Trade in North America*, regarding information and intelligence sharing on e-wastes and hazardous wastes, notably spent lead-acid batteries. The project will yield products and information useful for environmental enforcement, management/regulatory agencies and will further disseminate the EWG

publication: *Environmental Legislation in North America: Experiences and Best Practices for its Implementation and Adjudication*. Those agencies are the main recipients and beneficiaries of expertise-sharing exercises and will ensure appropriate engagement and participation, contributing to a successful outcome for the project.